



INTERNATIONAL  
COLOR  
CONSORTIUM

# Colorimetric and spectral matching

Prague, Czech republic  
June 29, 2017

Marc Mahy  
Agfa Graphics



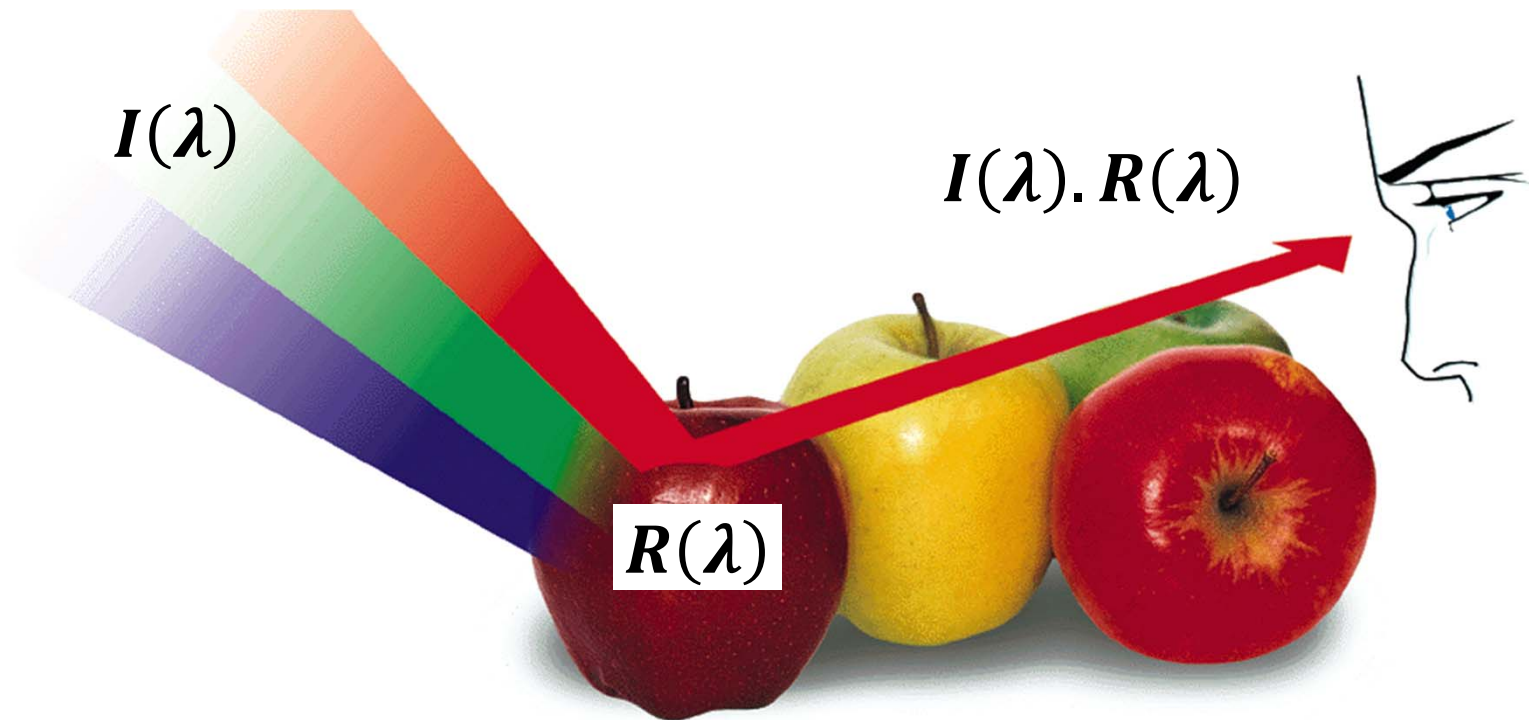
# Overview

- **Modeling color**
- **Color matching**
- **Process control**
- **Profile based color transforms**
  - Visual effects
  - Light interactions
- **Color matching**
  - Colorimetric matching
  - Spectral matching
  - Metameric colors



# Modeling color

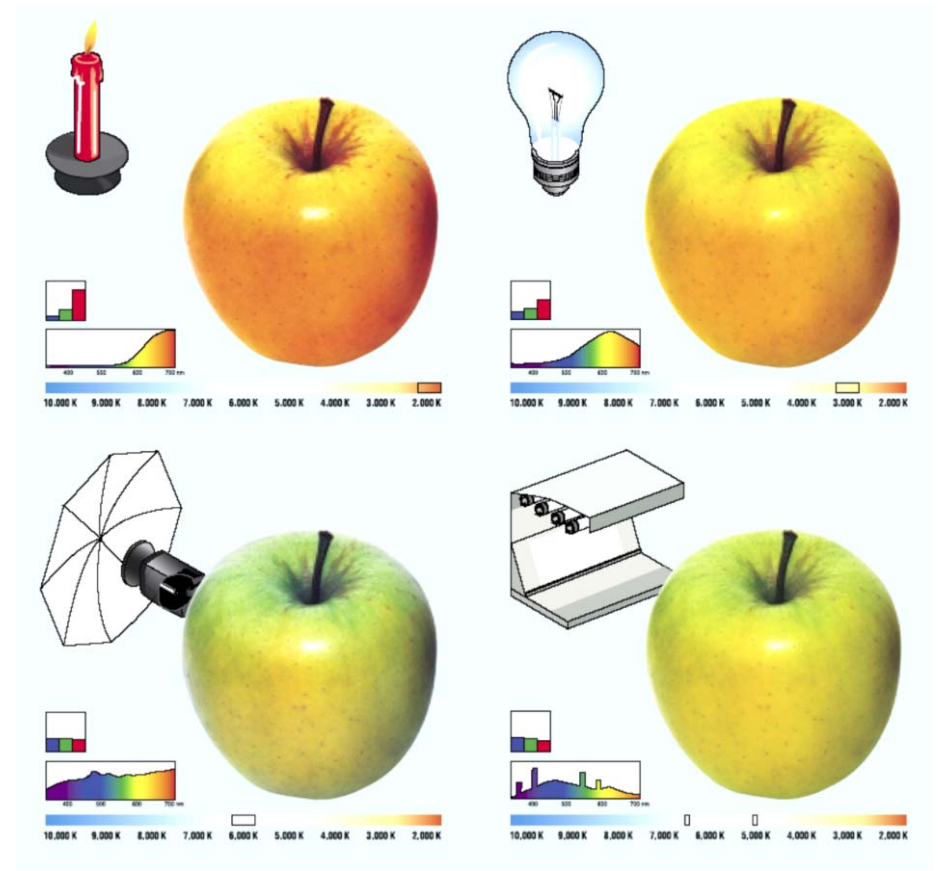
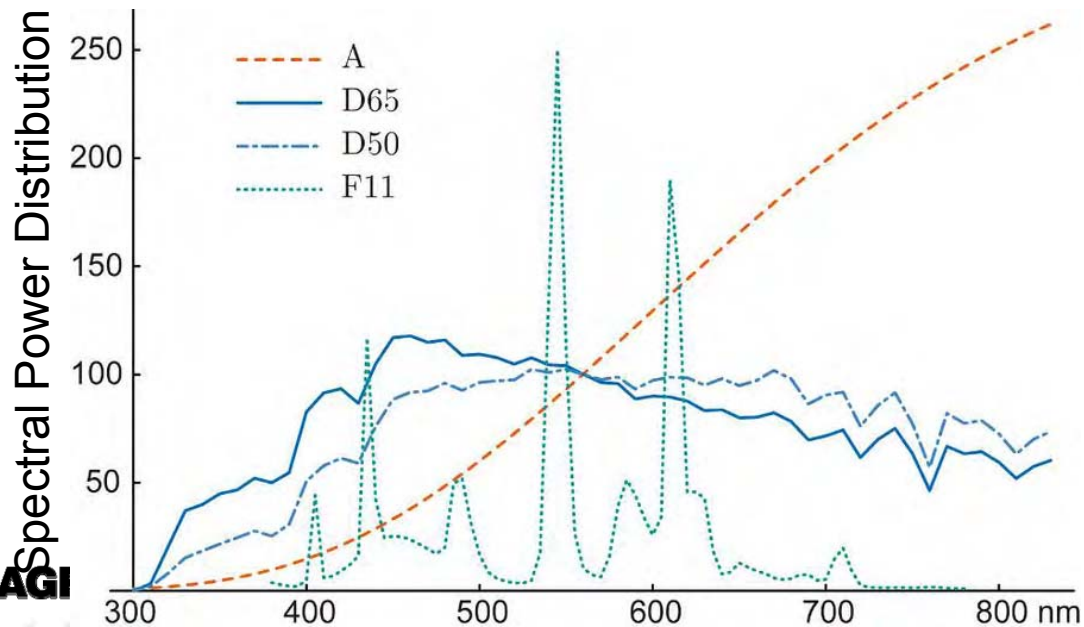
- **Object has the color of the “light” leaving its surface**
  - *Light source*  $SPD: I(\lambda)$
  - *Object*  $Reflectance: R(\lambda)$
  - *Human observer*  $CMF: (X(\lambda), Y(\lambda), Z(\lambda))$





# Modeling color

- **Light source**
  - Electro Magnetic Radiation (EMR)
    - Focus on wavelength range from 300 till 800 nm
  - Different standard illuminants
    - Illuminant E (equi-energy)
    - Illuminant A,  $D_{50}$ ,  $D_{65}$ ,  $F_{11}$





# Modeling color

- **Object colors: Classes object types**

- Opaque objects

- Diffuse reflection: Lambertian reflector

- Specular reflection: mirror

- Most objects: diffuse and specular reflection

- Transparent objects

- Absorption, no scattering: plexi, glass, ...

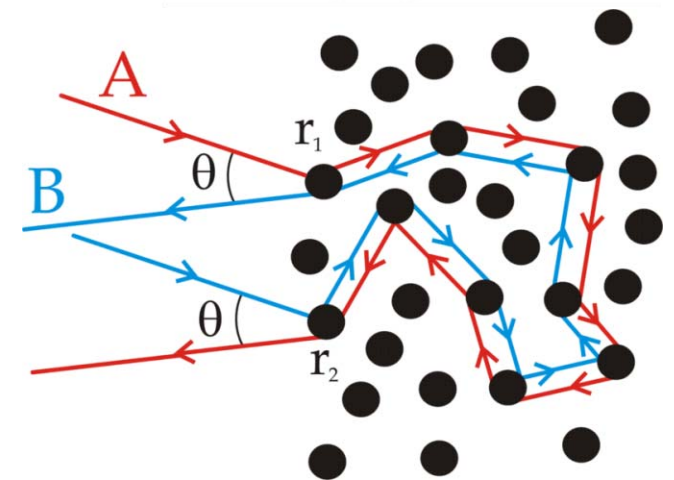
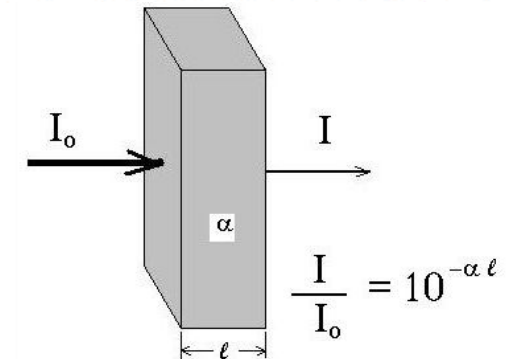
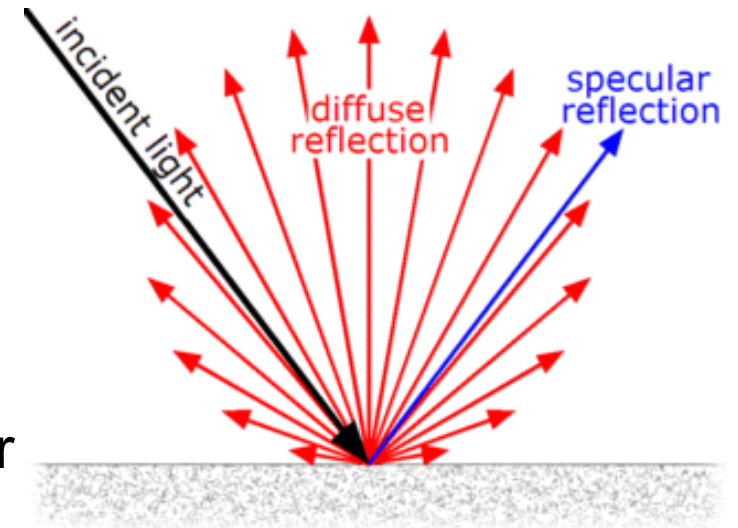
- Translucent objects

- Absorption and scattering: backlit

- Special effects

- Fluorescence: substrates

- Metallic surfaces: (in plane) BRDF





# Modeling color

- **Object colors: Characterizing object types**

- Opaque objects

- Measurement geometry:  $45^{\circ}:0^{\circ}$  or  $0^{\circ}:45^{\circ}$

- Colorimetric or reflectance spectra

- Transparent objects

- Measurement geometry:  $d:0^{\circ}$  or  $0^{\circ}:d$

- Colorimetric or transmission spectra

- Translucent objects

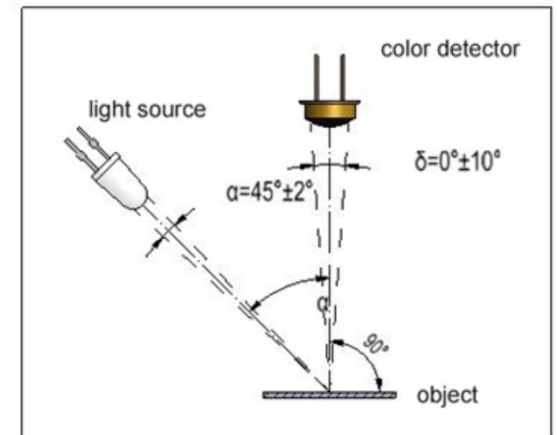
- In reflection or transmission mode

- For reflection mode: White backing, black backing or self backing

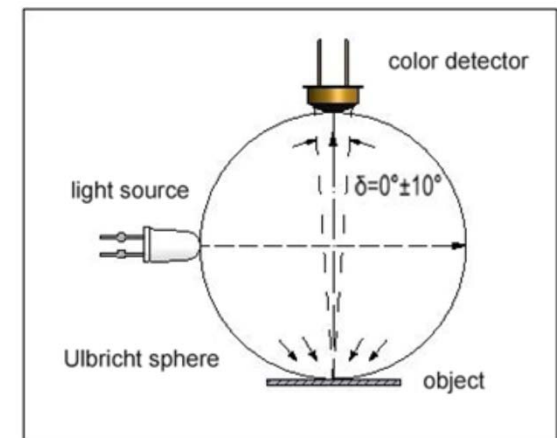
- Special effects

- Fluorescent substrates: colorimetric data or bi-spectral reflectance

- Metallic surfaces: BRDF based on colorimetric or reflectance data



45°/0° measurement geometry

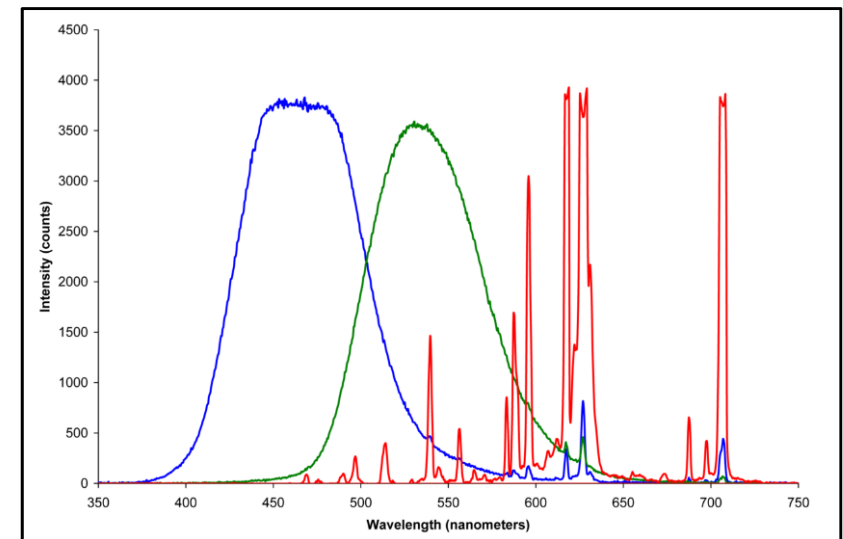


d/0° measurement geometry



# Modeling color

- **Self luminous colors**
  - Displays
    - Additive color mixing based on Red, Green and Blue phosphors
  
- **Characterizing self luminous colors**
  - Displays: colorimetric data or radiant emission spectra



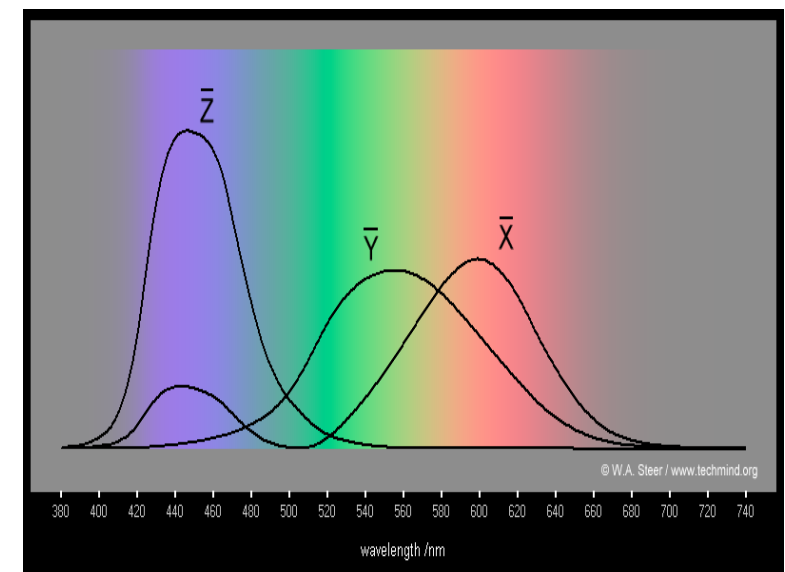
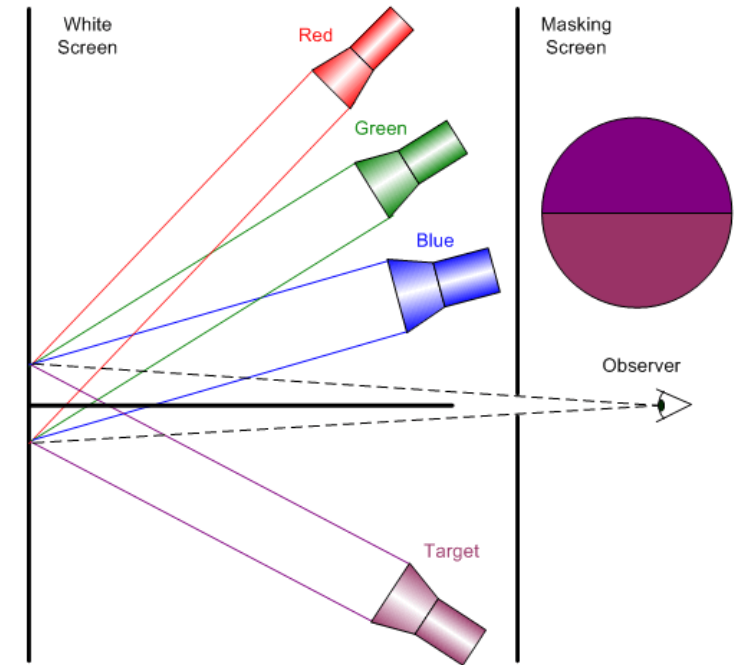


# Modeling color

- **Human observer**
  - Color vision: three types of cones
  - Sensitive to EMR from 360 till 830 nm
- **Tristimulus color spaces**
  - Based on color matching experiments
  - Grassman's laws (additivity)
  - ⇒ Color Matching Functions (CMF's)
- **Tristimulus values opaque objects**

$$X = \frac{\int_{360}^{830} R(\lambda) X(\lambda) I(\lambda)}{\int_{360}^{830} Y(\lambda) I(\lambda)} \quad Z = \frac{\int_{360}^{830} R(\lambda) Z(\lambda) I(\lambda)}{\int_{360}^{830} Y(\lambda) I(\lambda)}$$

$$Y = \frac{\int_{360}^{830} R(\lambda) Y(\lambda) I(\lambda)}{\int_{360}^{830} Y(\lambda) I(\lambda)}$$

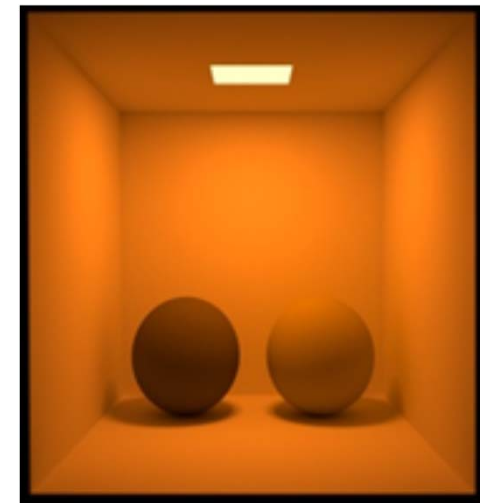
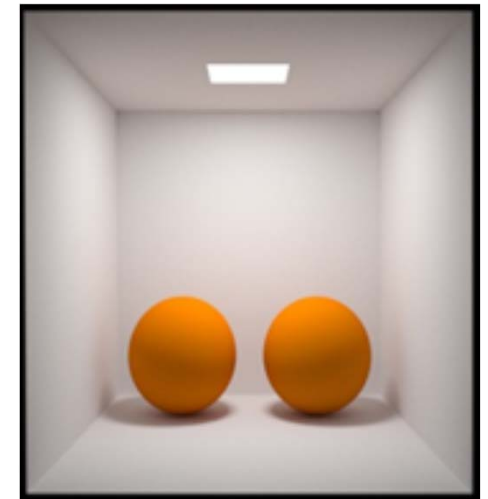






# Color matching

- **Colorimetric matching**
  - Two colors (test and reference sample)
    - under the same viewing conditions
    - under different viewing conditions
  - Condition colorimetric match opaque colors
    - Same viewing conditions:  $XYZ_1 = XYZ_2$
    - Different viewing conditions:  $Jab_1 = Jab_2$
- **Examples**
  - Contract proofing for a given illuminant
  - Conversion from display ( $D_{65}$ ) to graphic arts ( $D_{50}$ )
- **Issues**
  - No match for changes in illumination
  - No match for observers with different CMF's





# Color matching

- **Spectral matching**

- Two colors (test and reference sample)
  - evaluated simultaneously for different illuminants
- Too strict condition spectral match opaque colors:

$$SPD_1(\lambda) = SPD_2(\lambda)$$

$$I(\lambda)R_1(\lambda) = I(\lambda)R_2(\lambda)$$

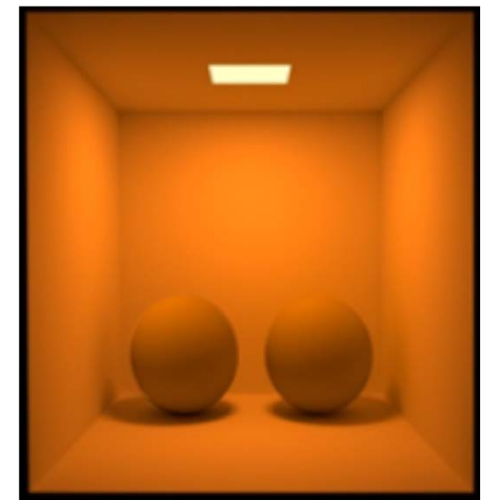
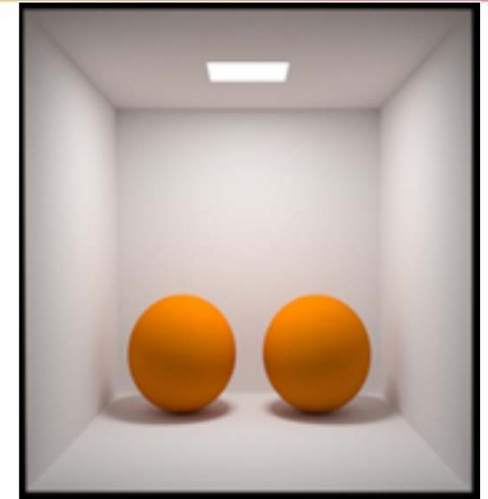
$$R_1(\lambda) = R_2(\lambda)$$

- **Examples**

- Conventional proofing systems
  - Spectral color matching between proof and press
  - Accurately simulating screening effects

- **Issues**

- Not possible to get a spectral match between display and hard copy
- Only supported for object colors





# Process control

- **Normal distribution**

- Continuous probability distribution

$$\varphi(\mu, \sigma) = \frac{e^{-\frac{1}{2}x^2}}{\sqrt{2\pi}}$$

with  $x$ : variable

$\mu$  : average

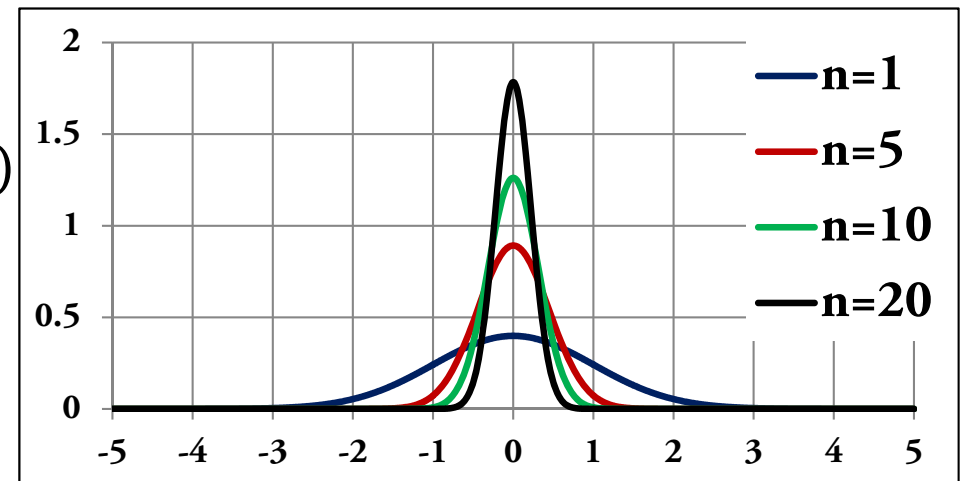
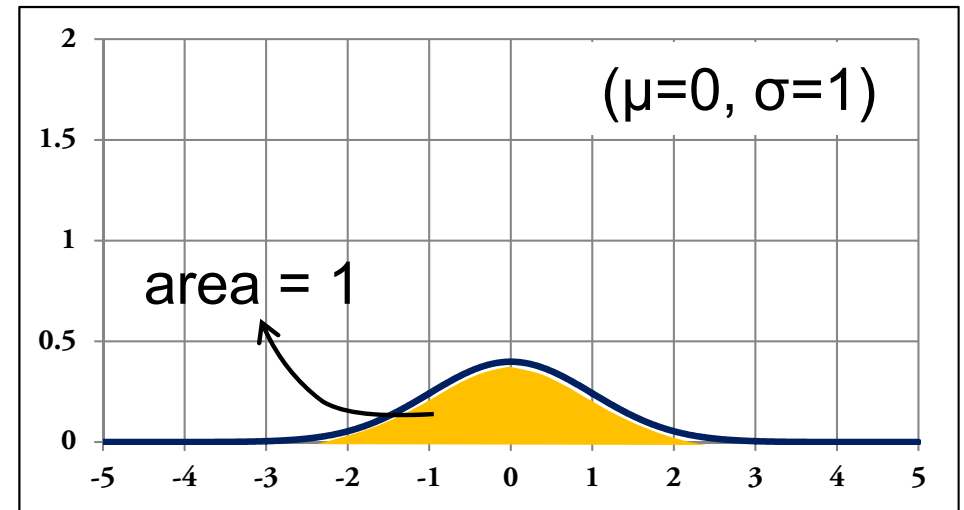
$\sigma$  : standard deviation

- Properties

$x$  normal distribution  $\varphi(\mu, \sigma)$

$\Rightarrow \bar{x}_n$  normal distribution  $\varphi(\mu, \sigma/\sqrt{n})$

$\Rightarrow$  Reducing uncertainty & increasing accuracy



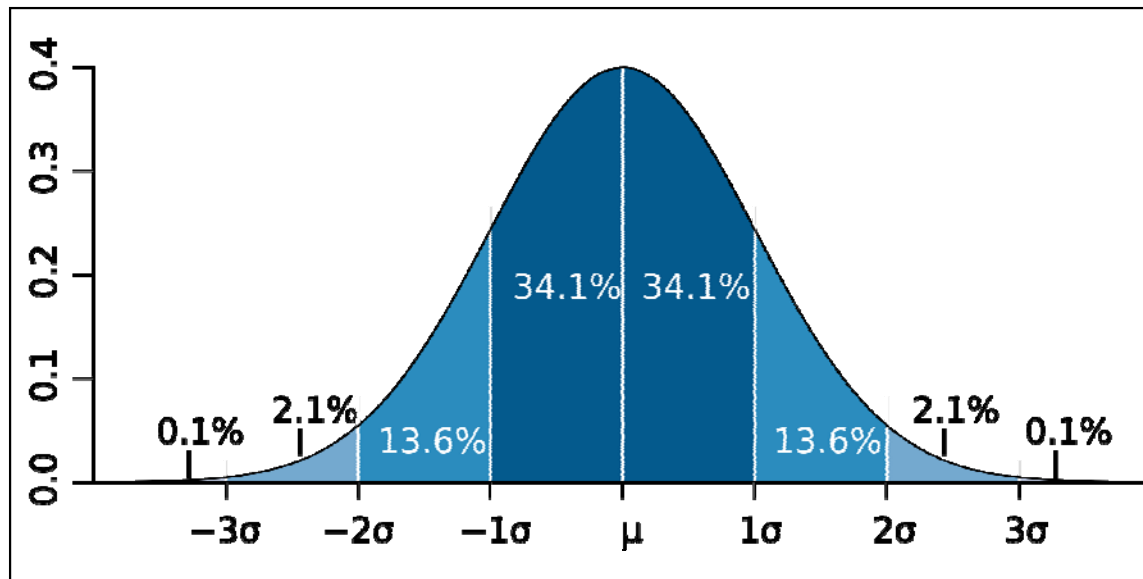


# Process control

- **Normal distribution (cont'd)**

- Confidence intervals

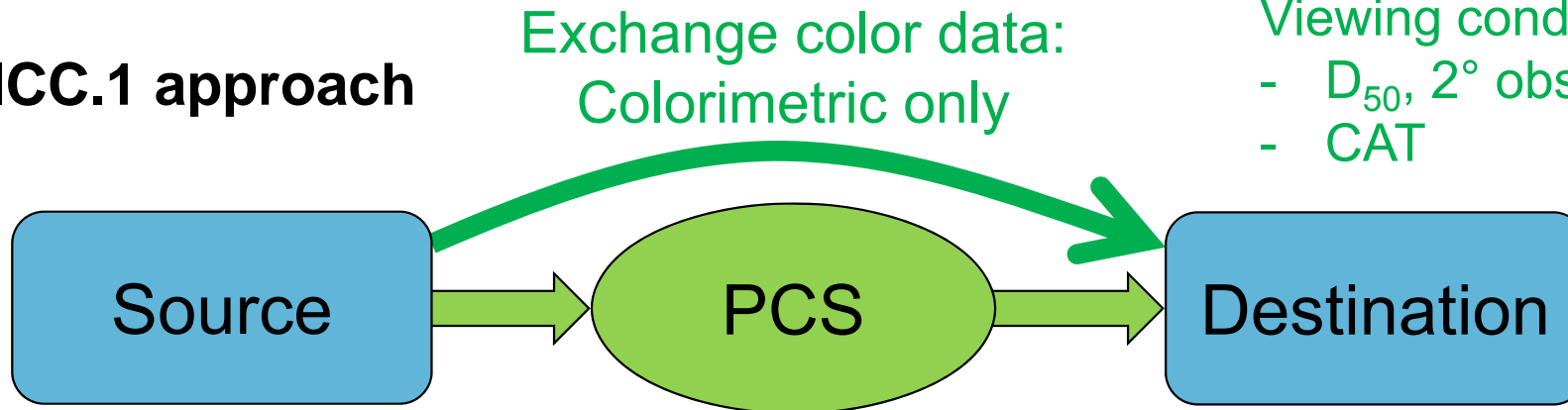
- $\pm 1\sigma$ : 68.3 %    3170 errors per 10,000 measurements
- $\pm 2\sigma$ : 95.5 %    460 errors per 10,000 measurements
- $\pm 3\sigma$ : 99.7 %    27 errors per 10,000 measurements





# Profile based transforms

- **ICC.1 approach**



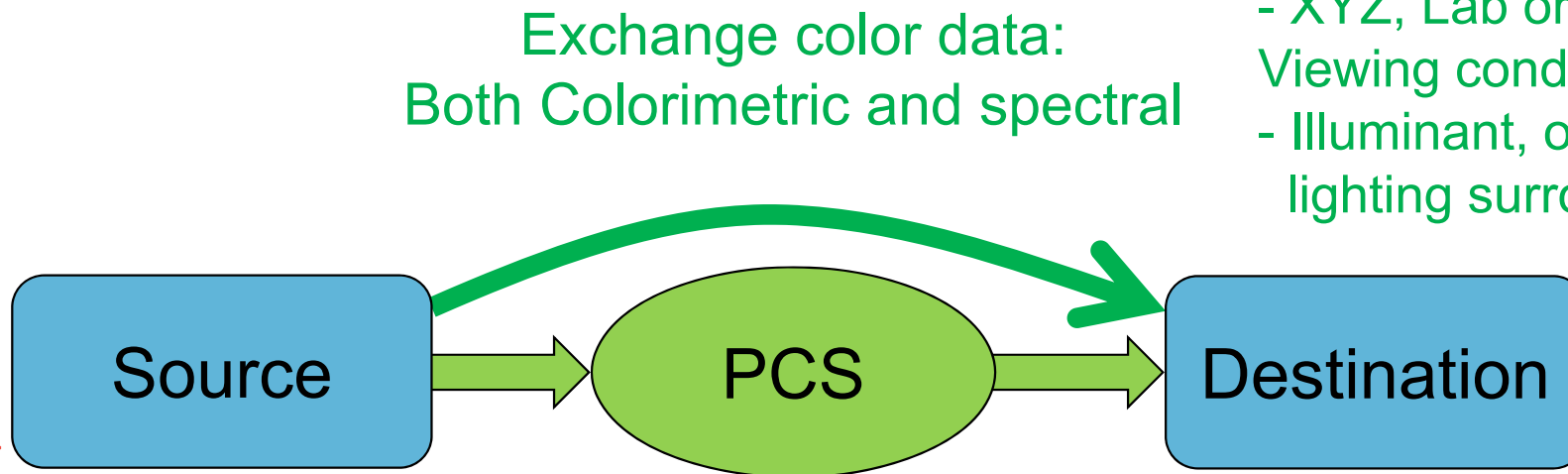
Profile connection spaces

- XYZ, Lab

Viewing conditions

- $D_{50}$ , 2° observer
- CAT

- **ICC.2 approach (iccMAX)**



Profile connection spaces

- XYZ, Lab or spectral

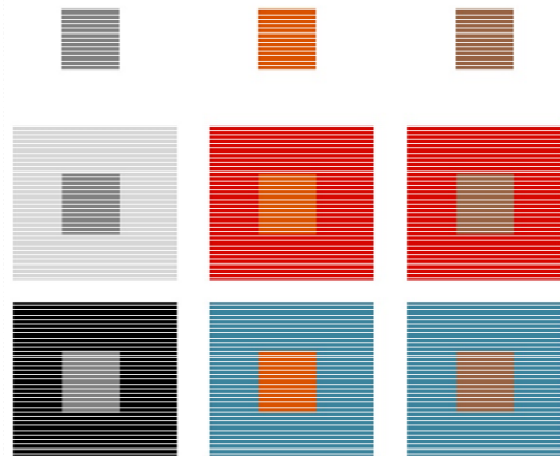
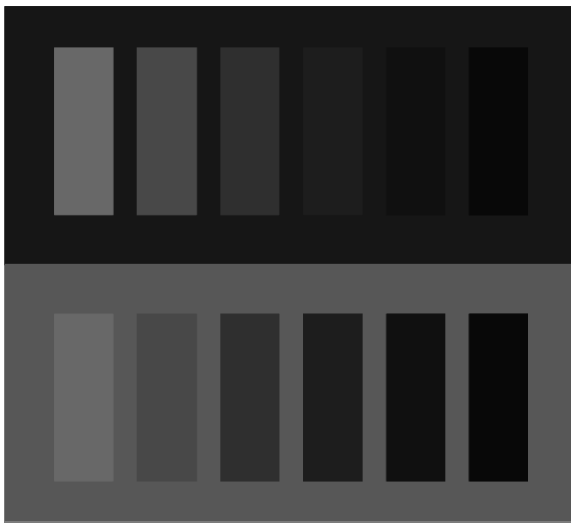
Viewing conditions (PCC):

- Illuminant, observer and lighting surround



# Profile based transforms: Visual effects

- **Visual effects**
  - Appearance based color matching
    - Needed if viewing conditions source and destination are different
      - For ICC.1: CAT's are used
      - For ICC.2: Support provided by modified CIECAM02
  - Local effects such as lightness and color induction, crispening, ...
    - Not simulated as they are present in the image





# Profile based transforms: Light interactions

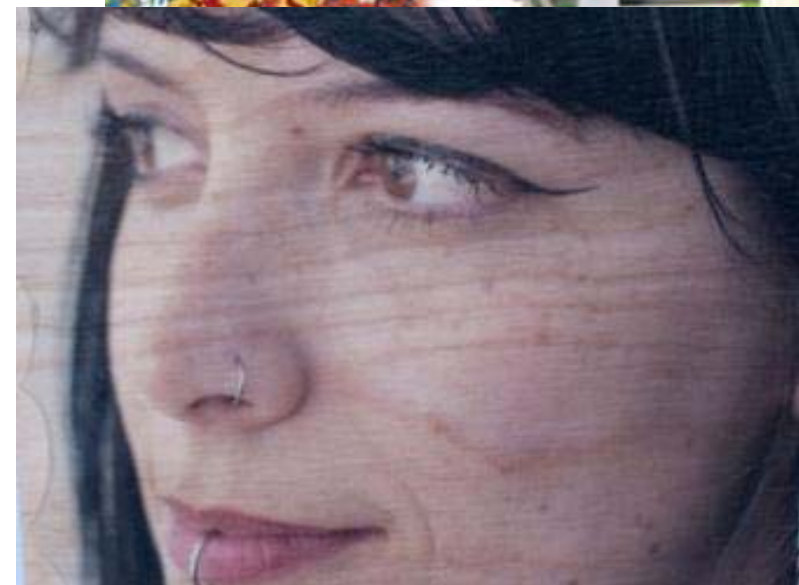
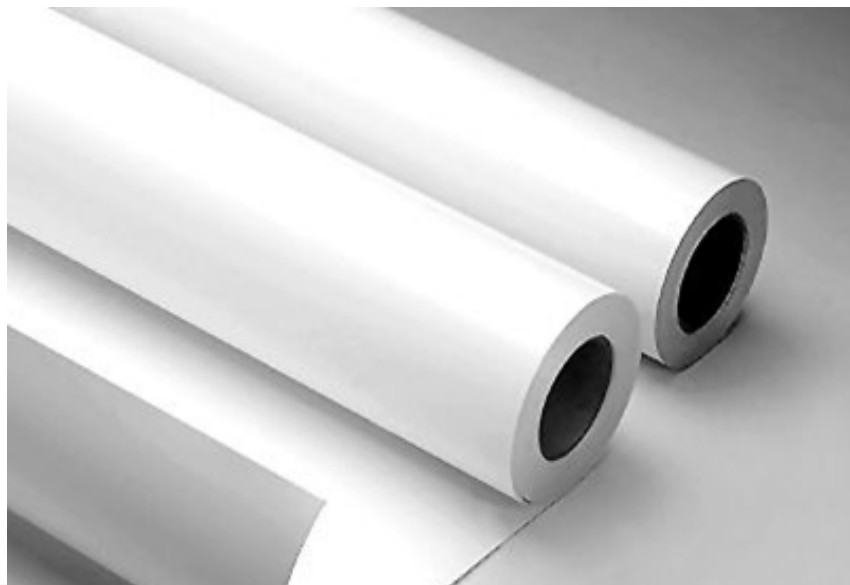
- **Print substrates**

- Large variety of substrates used in ink jet applications

- Plastics, backlit, plexi, glass, wood, ...

- Special light objects interactions

- ⇒ Not always easily to measure





# Profile based transforms: Light interactions

- **Measurement instruments**

- Both available for reflectance and transmittance
- Support M0, M1 and M2 measurement conditions for reflectance
  - M1 either for  $D_{50}$  or a custom illuminant
- Typical characteristics
  - Different apertures to measure local non-uniformities
  - Spectral data provided
  - Black and white backing available

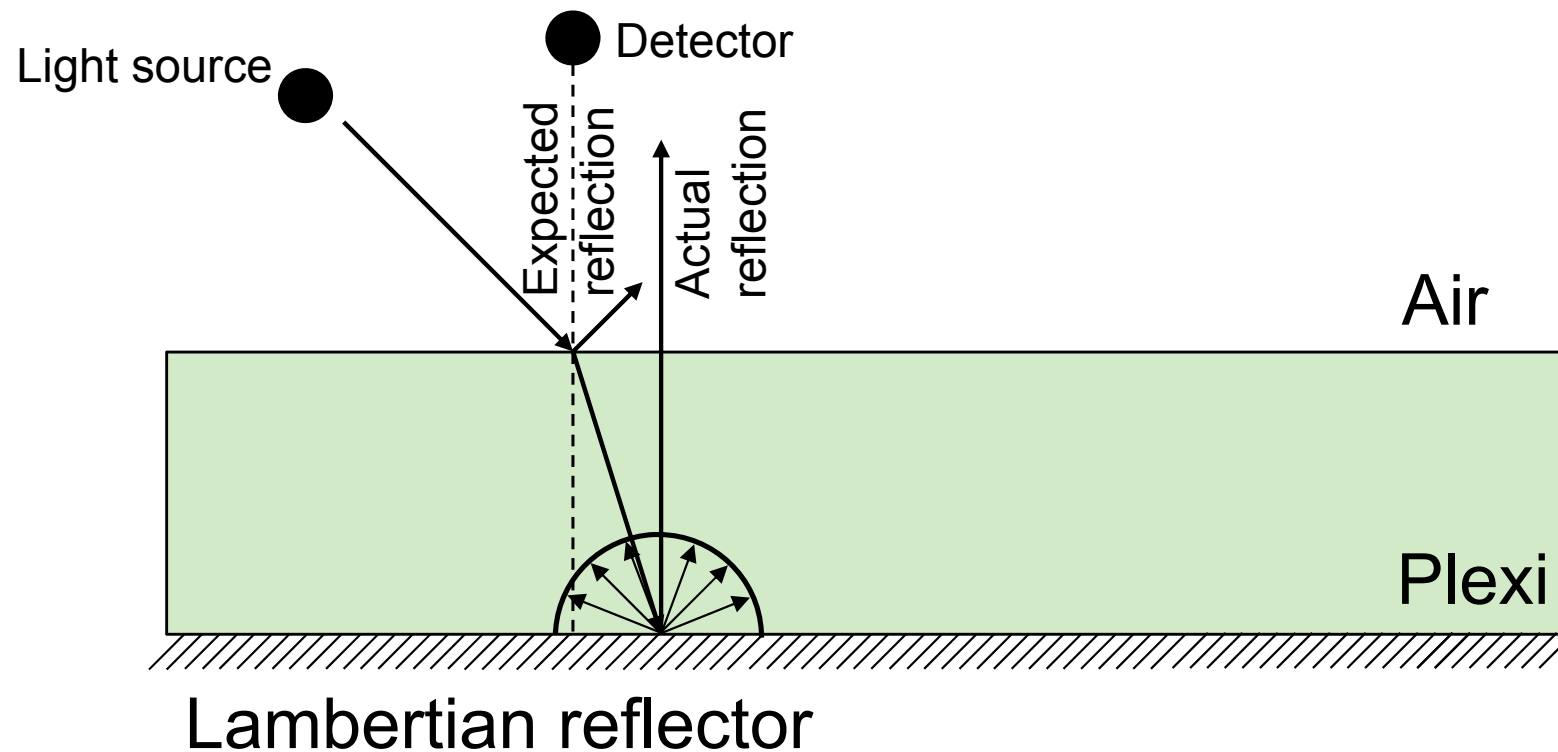






# Profile based transforms: Light interactions

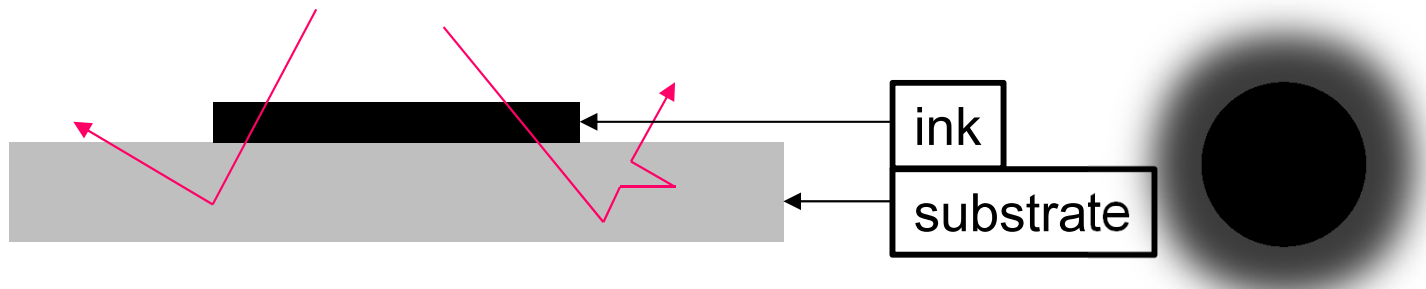
- **Effect thickness substrate**
  - Plexiglass
  - Reflectance measurements are not accurate or meaningful





# Profile based transforms: Light interactions

- **Transparent and translucent substrates**
  - Interaction light in substrate



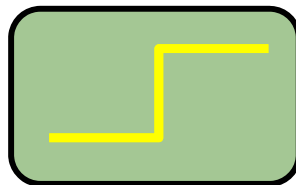
- Measurement dot gain based on ISO-12233 (Slanted edge method)

edge with  
a small angle



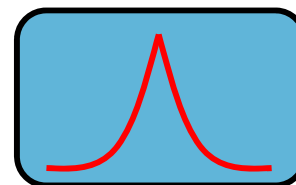
Pre processing

Edge Spread  
Function



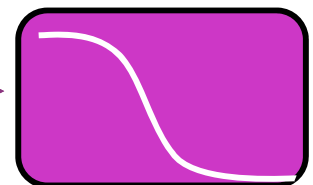
Derivative

Line Spread  
Function



Fourier  
Transform

MTF



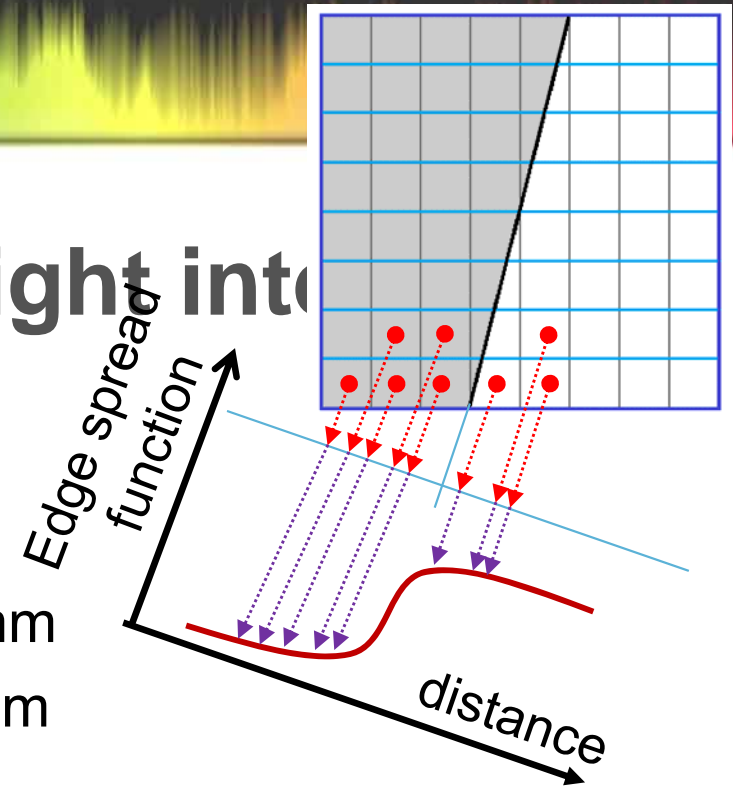


# Profile based transforms: Light into

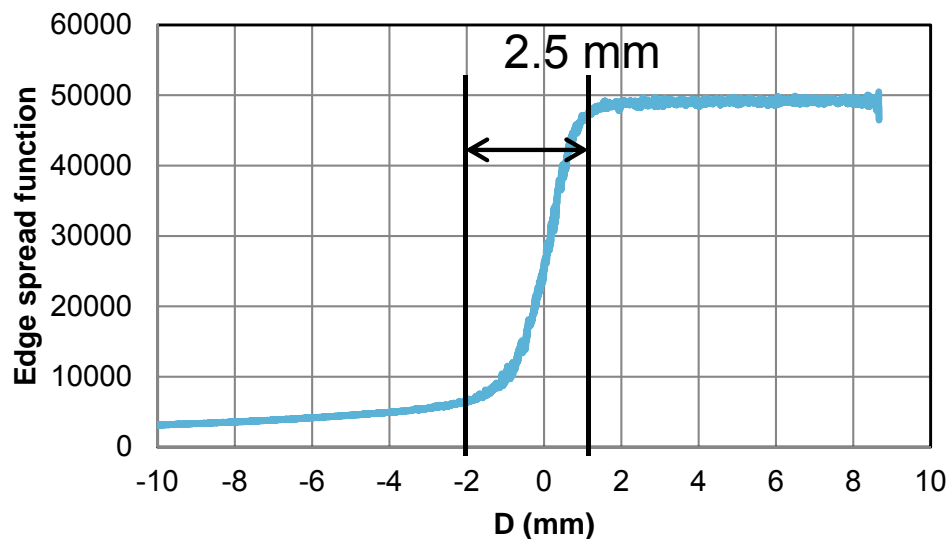
- **Transparent and translucent substrates**

- Based on edge spread function

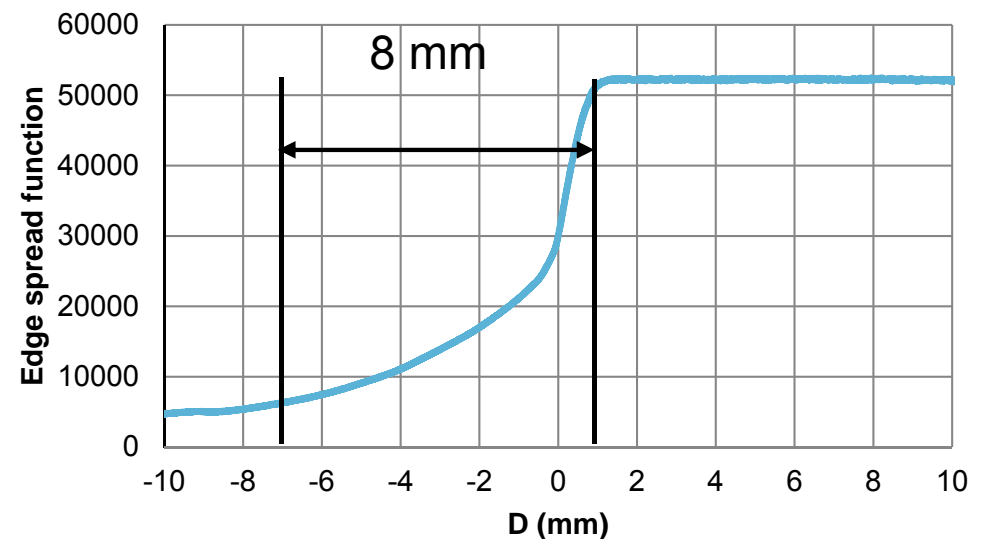
- Patch size on translucent substrates 20 mm
- Patch size on transparent substrates 5 mm



### Transparent substrate



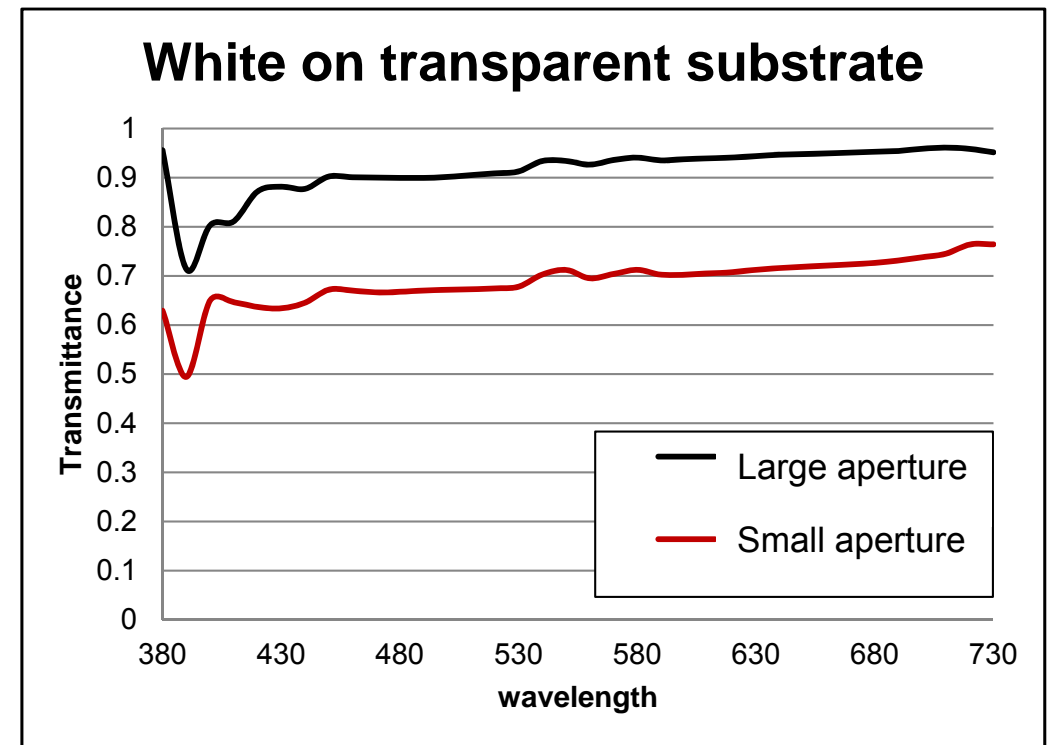
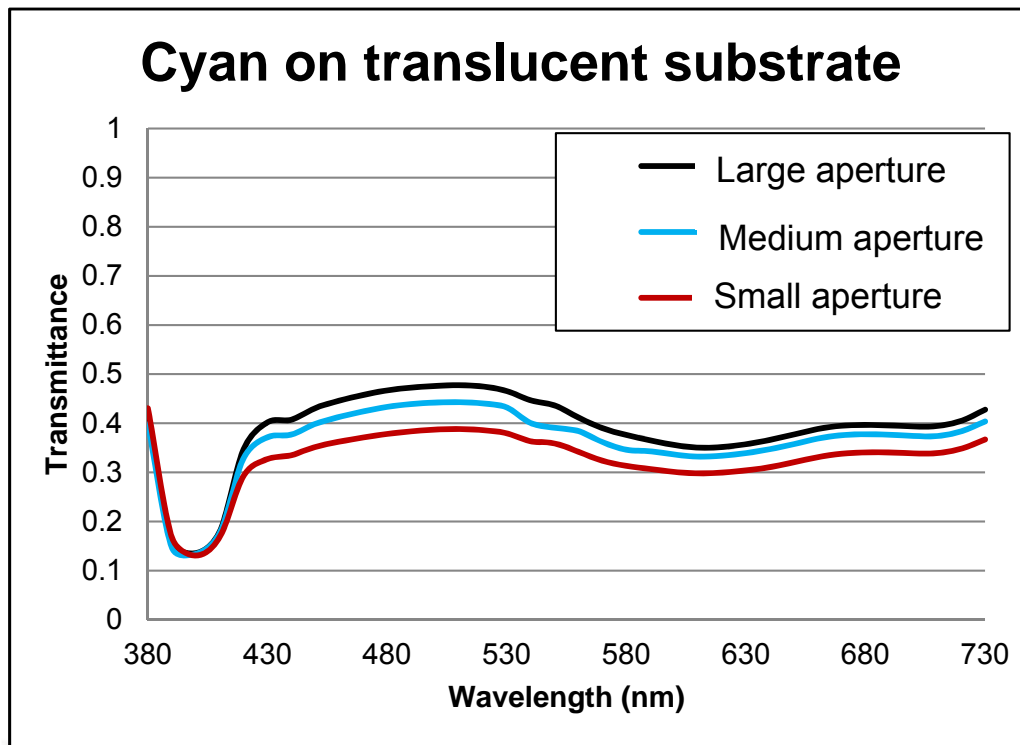
### Translucent substrate





# Profile based transforms: Light interactions

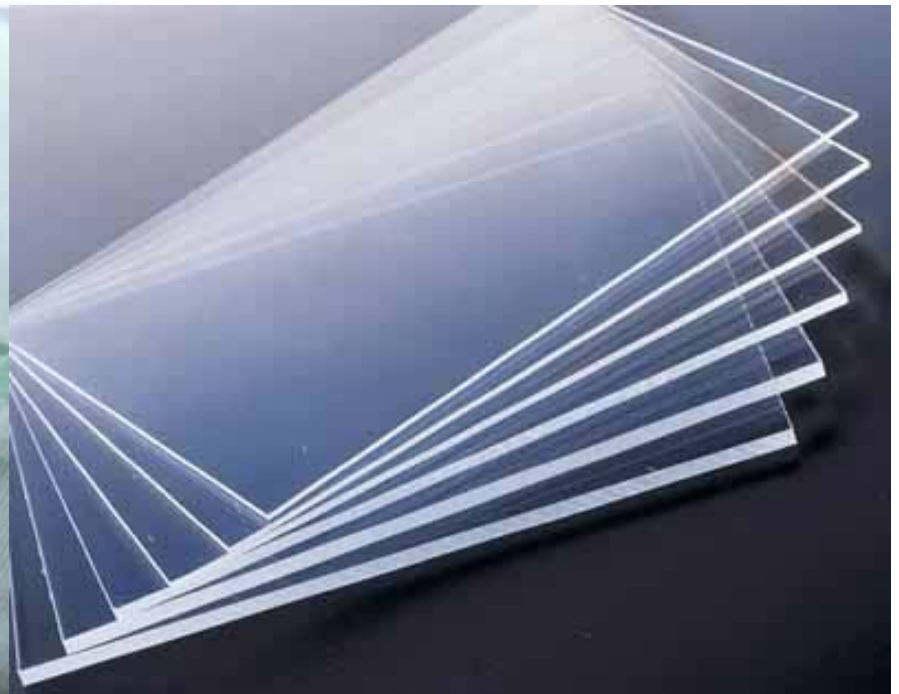
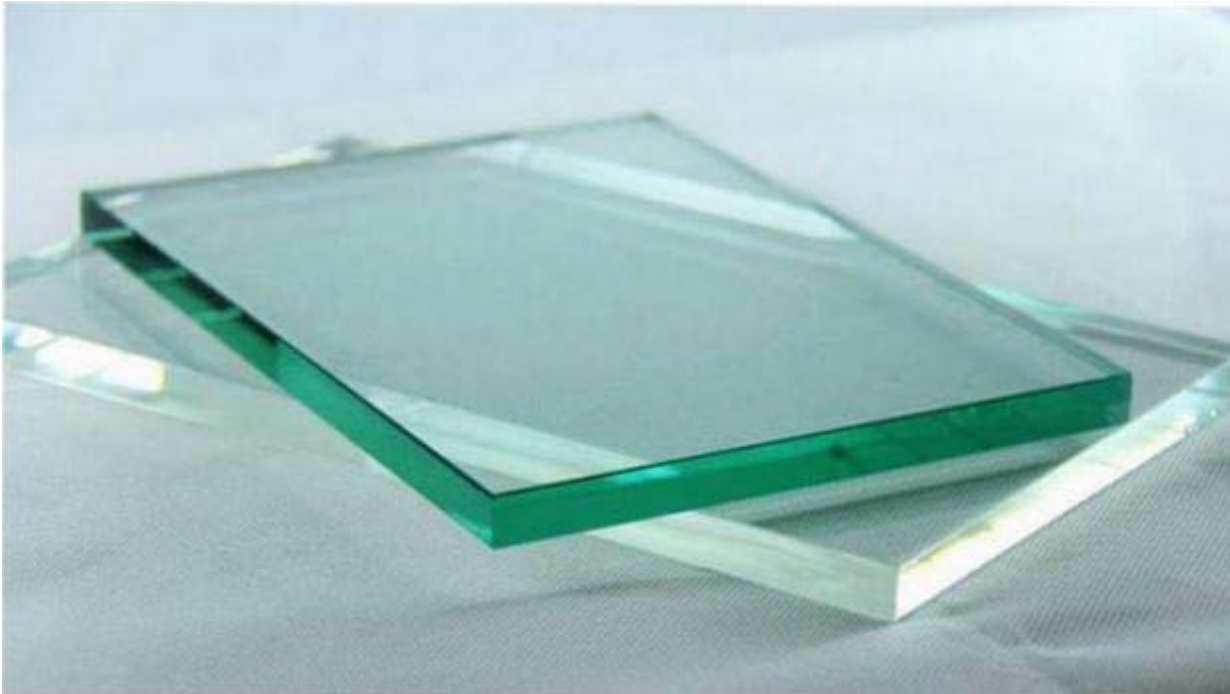
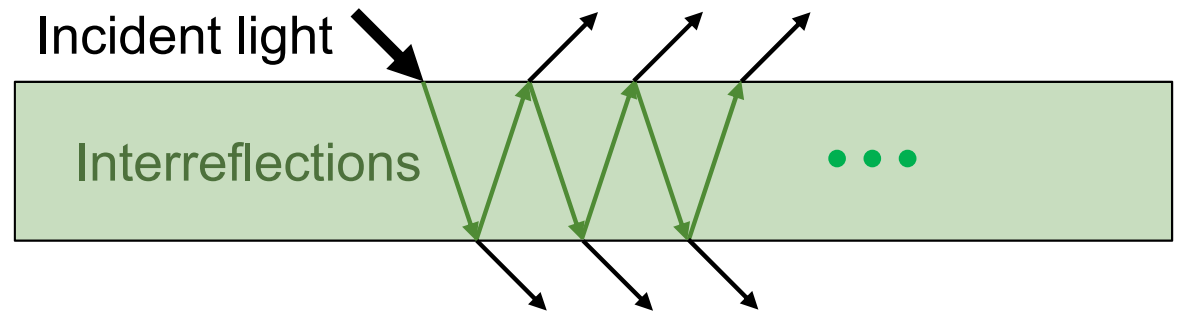
- **Transparent and translucent substrates**
  - Effect illumination size
    - Effect white ink on transparent substrate
    - Effect cyan ink on translucent substrate





# Profile based transforms: Light interactions

- **Transparent substrates**
  - Tunneling effect (inter-reflections in substrate)

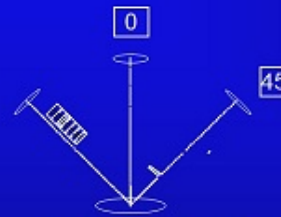




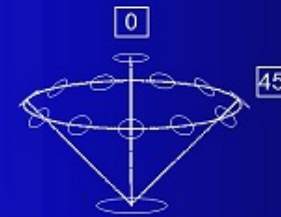
# Profile based transforms: Light interactions

- **Textile**
  - Non-uniform surface
  - Effect directional geometry

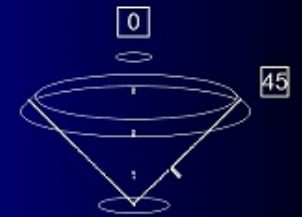
## Directional Geometry Variations



Uniplanar 45°:0°

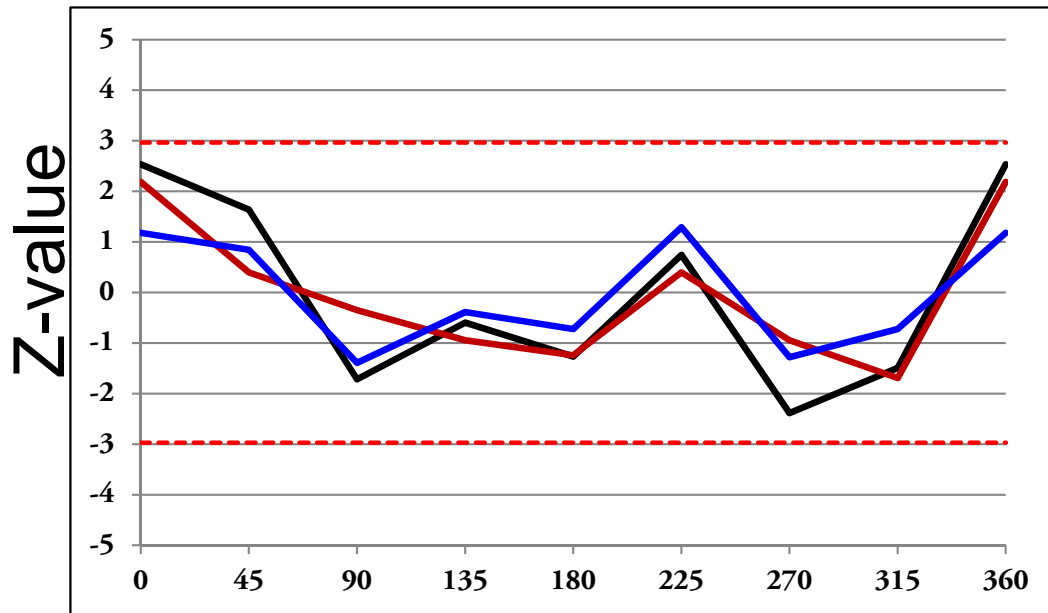


Circumferential 45°:0°

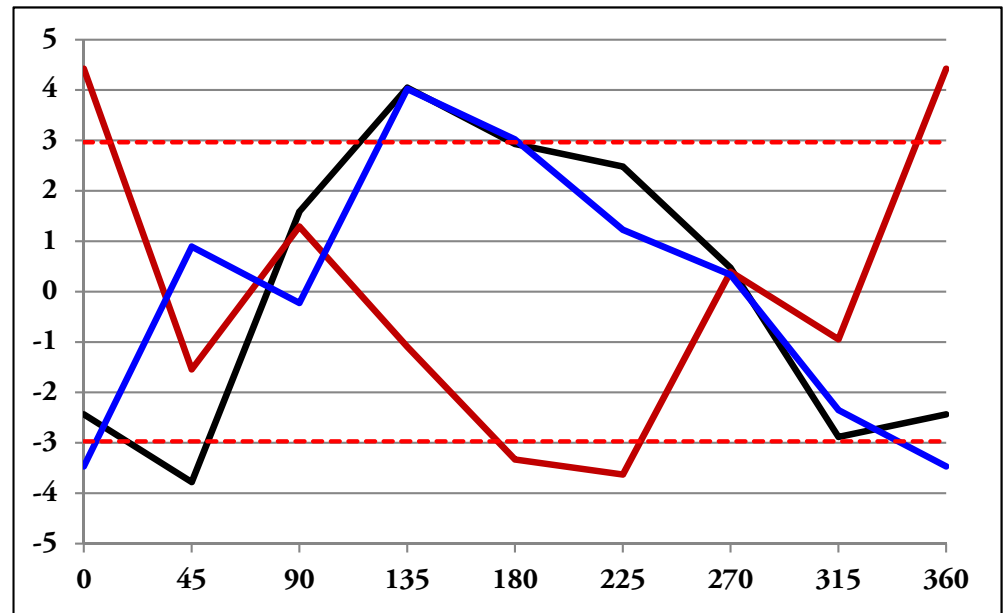


Annular 45°:0°

## Proofing substrate



## Textile substrate





# Colorimetric matching

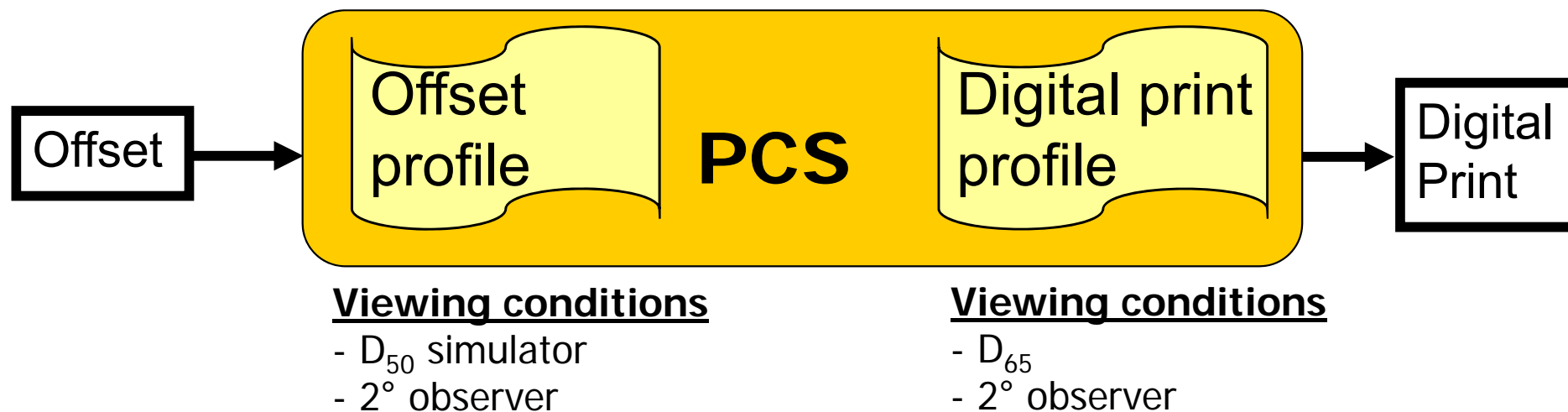
- **Conventional color management**
  - Supported both by ICC.1 and ICC.2 (iccMAX)
- **Use cases**
  - Perceptual match => typically viewing in isolation
  - Proofing => side by side evaluation
- **Object type combinations for ICC.2 (iccMAX)**

Colorimetric match	Opaque	Trans- parent	Trans- lucent	Display	Fluorescent substrate
Opaque					
Transparent					
Translucent					
Display					
Fluorescent substr.					



# Colorimetric matching

- Handling mismatch in viewing conditions



## — ICC.1: CAT's

- Perceptual: CAT's used
- Proofing: undo CAT's, recalculation destination profile is needed

## — ICC.2: Profile Connection Conditions (PCC's)

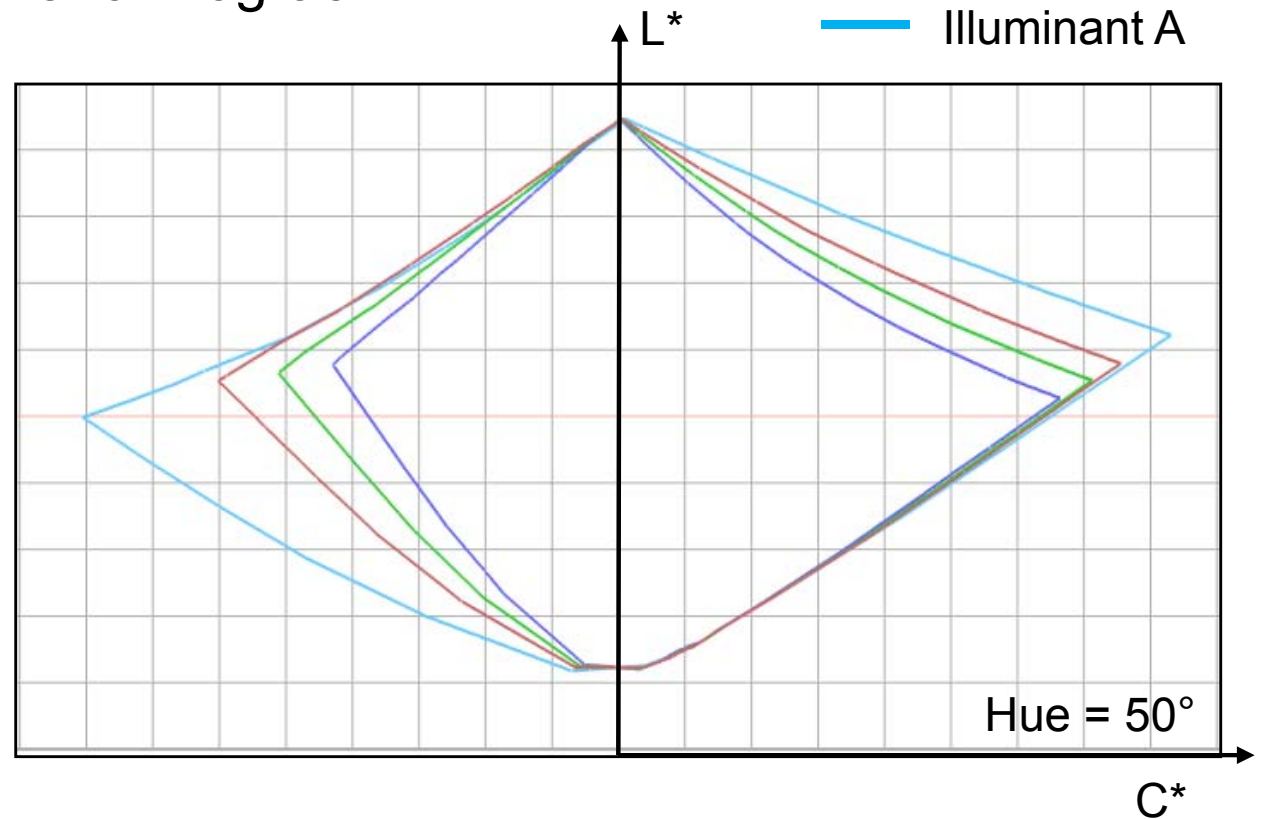
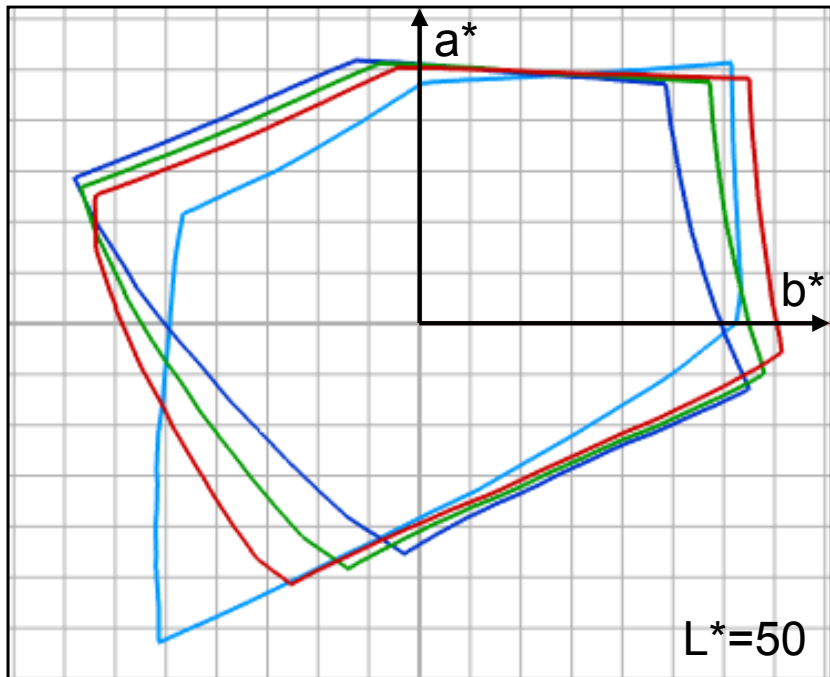
- Perceptual: mechanism in place to handle different PCC's
- Proofing: inverse table cannot be reused





# Colorimetric matching

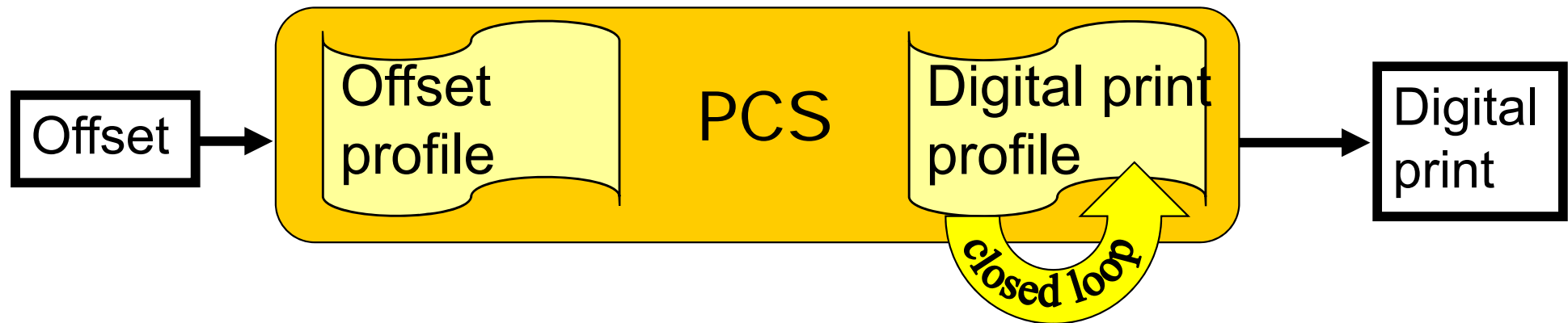
- **Handling mismatch in viewing conditions (con'd)**
  - Effect illuminants on gamut
  - Spectral measurement file for Fogra51





# Colorimetric matching

- **Closed loop**



- Iteratively improving device model (forward transform)
- Closed loop approach limited by
  - Accuracy measurements
  - Stability printer
- Results in
  - Increased profile accuracy w.r.t. behavior printer



# Colorimetric matching

- **Accurate linking**
  - Reduction interpolation errors for in-gamut and out-of-gamut colors

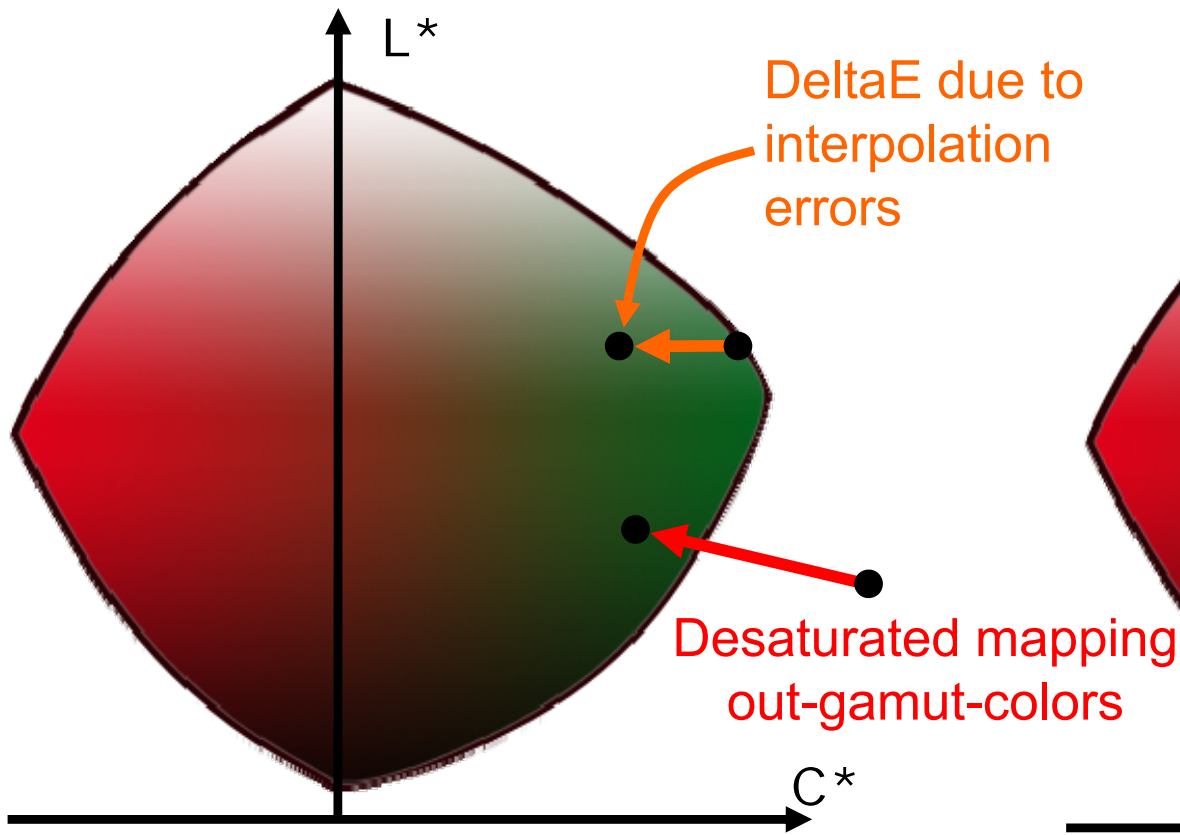
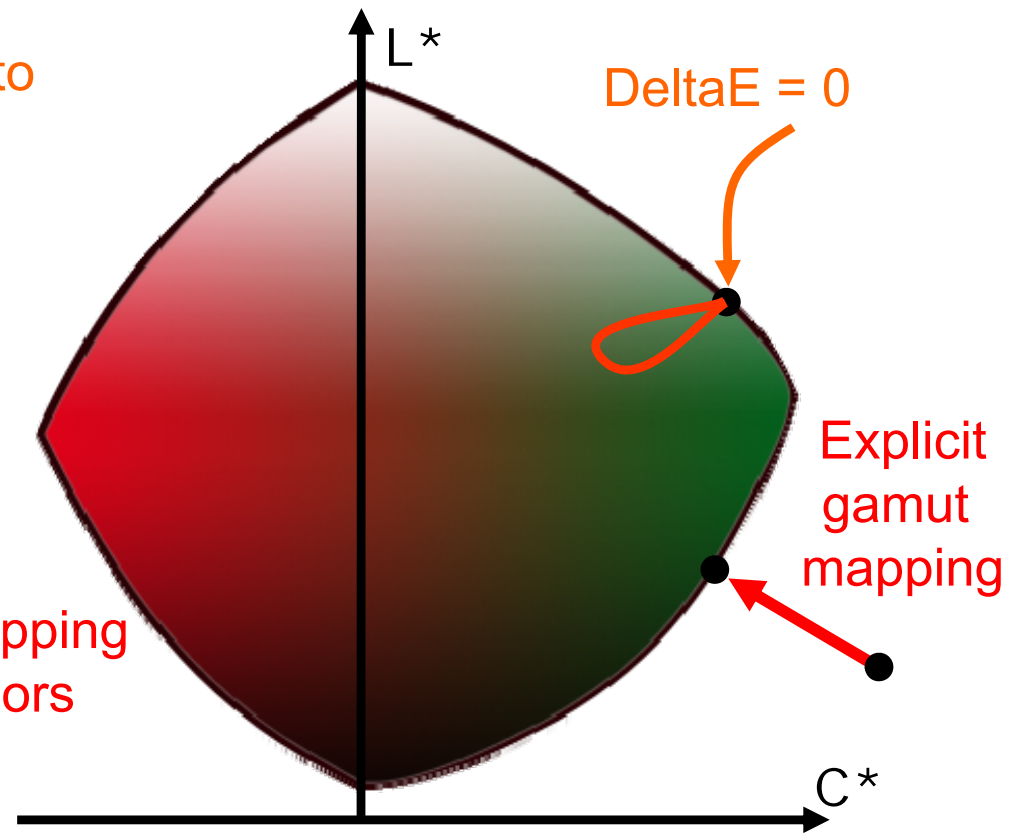


Table based linking

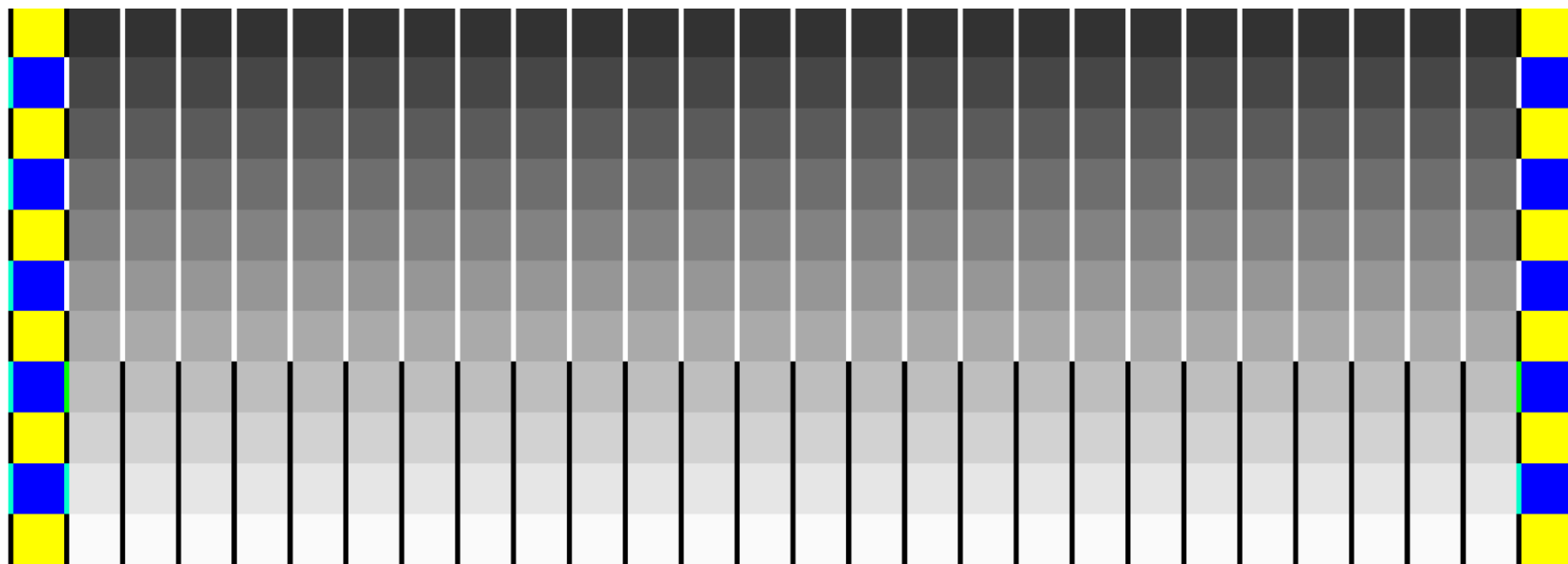


Accurate linking



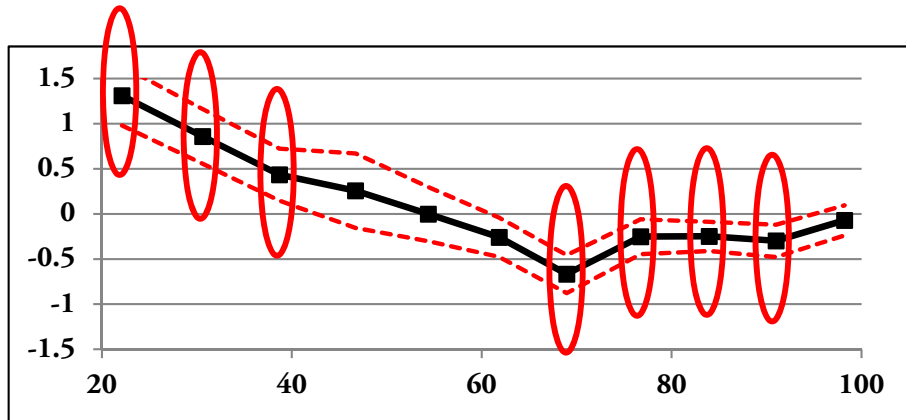
# Colorimetric matching

- **Stability neutrals**
  - Proofing: Absolute colorimetric intent
  - Neutral check target
  - RGB target consisting of 11 different neutrals repeated per line (x26)

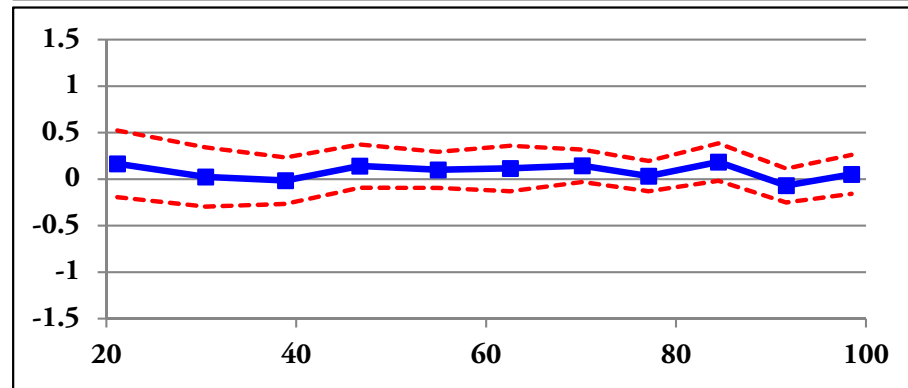
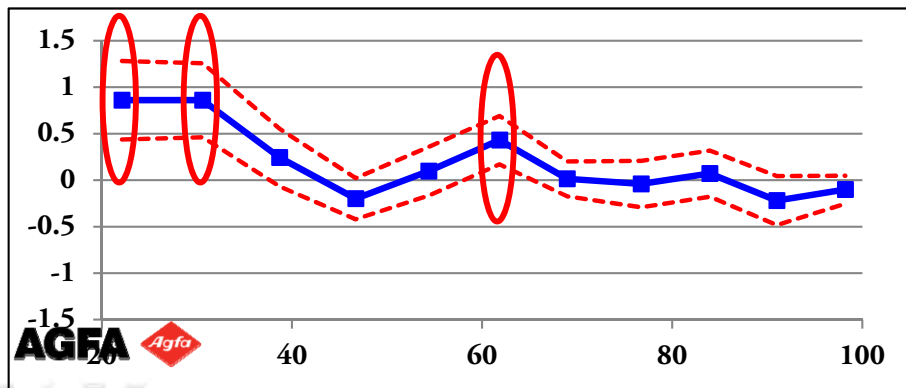
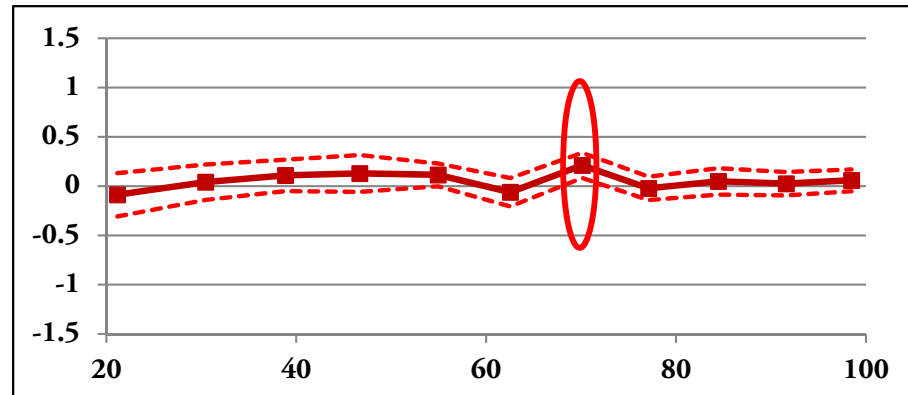
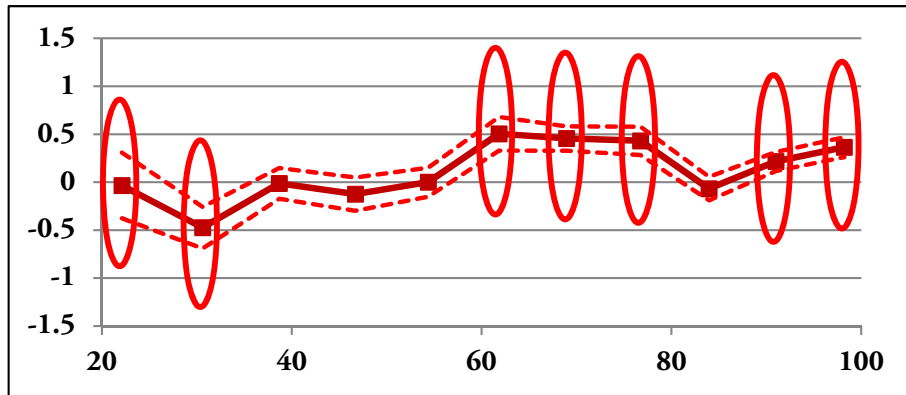
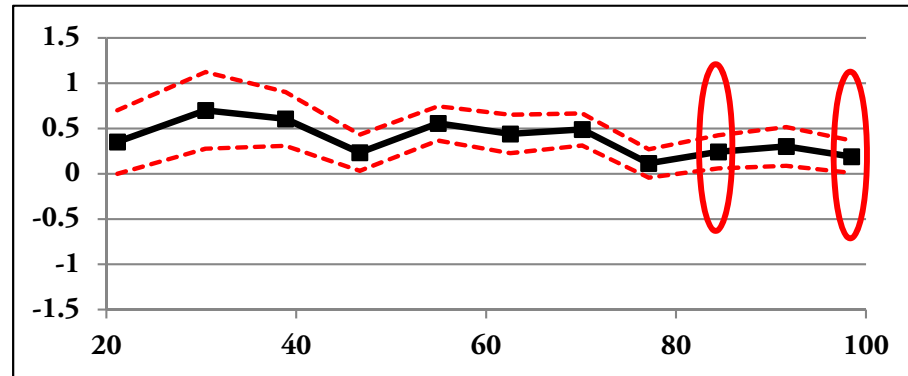


# Colorimetric matching: Stability neutrals

## Conventional link



## Closed loop + Accurate linking





# Spectral matching

- **Not explicitly supported by conventional color management**
  - Dedicated support provided by ICC.2 (iccMAX) only
- **Use cases**
  - Similar appearance prints from different CMYK presses
  - Packaging: spot color processing
- **Object type combinations for ICC.2 (iccMAX)**

Spectral match	Opaque	Trans- parent	Trans- lucent	Display	Fluorescent substrate
Opaque	Green	Green	Green	Red	Red
Transparent	Green	Green	Green	Red	Red
Translucent	Green	Green	Green	Red	Red
Display	Red	Red	Red	Identical	Red
Fluorescent substr.	Red	Red	Red	Red	Identical

— Focus on matching between opaque objects, CMYK printed output



# Spectral matching

- **Spectral match preserving transformations**

- Repurposing transformation

- From Fogra51 to CGATS TR 006

- Preserves GCR from source

- ⇒ Acceptable spectral match

- Identity transform

- Repurposing transform between identical profiles

- ⇒ Preserves spectral match

Original    Repurpose    ICC convert

K-only



CMY



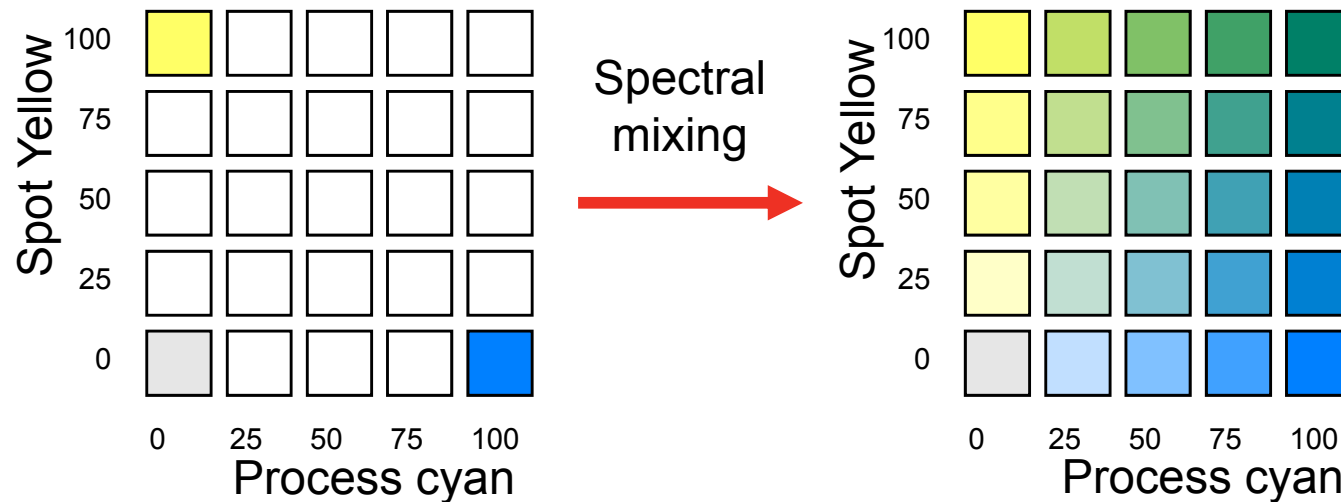
CMYK





# Spectral matching

- **Spot color mixing**
  - Dynamic rendering of spot colors
    - Spectra step wedge on white and black background (CxF/X-4)
    - Output profile defines substrate and viewing conditions
  - Rendering characteristics
    - Realistic rendering of ink combinations based on spectral mixing
    - Continuity between different subsets of ink values
  - Spectra substrate and process inks: **spectral reflectance estimation**







# Spectral matching

- **Spot color mixing (cont'd)**

- Spectral reflectance estimation

- Given discrete version of the tristimulus values XYZ

$$X = k \sum_{i=1}^N R_i I_i X_i \quad Y = k \sum_{i=1}^N R_i I_i Y_i \quad Z = k \sum_{i=1}^N R_i I_i Z_i$$

- with  $R_i$  reflectance spectrum object  
 $I_i$  spectral power distribution illuminant  
 $X_i Y_i Z_i$  color matching functions  
 $N$  dimension spectral space

- Invert tristimulus values XYZ and solve for  $R_i$  with constraints

- » Reflectance:  $0 \leq R_i \leq 1 \quad i: 1 \rightarrow N$

- » Smoothness criteria

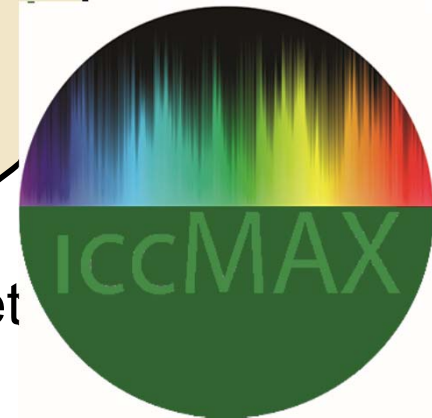
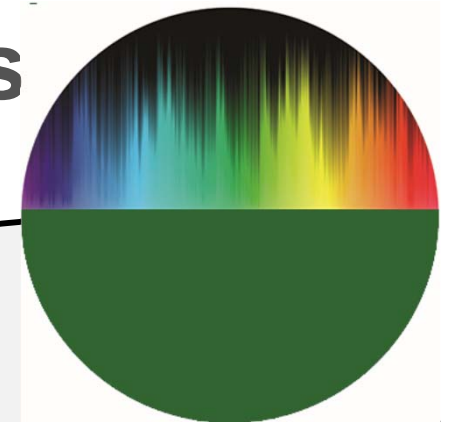
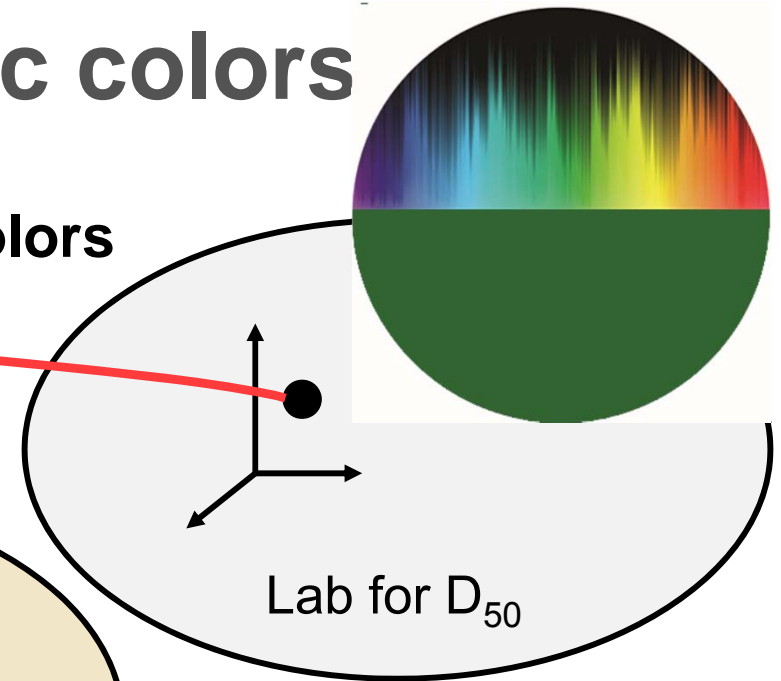
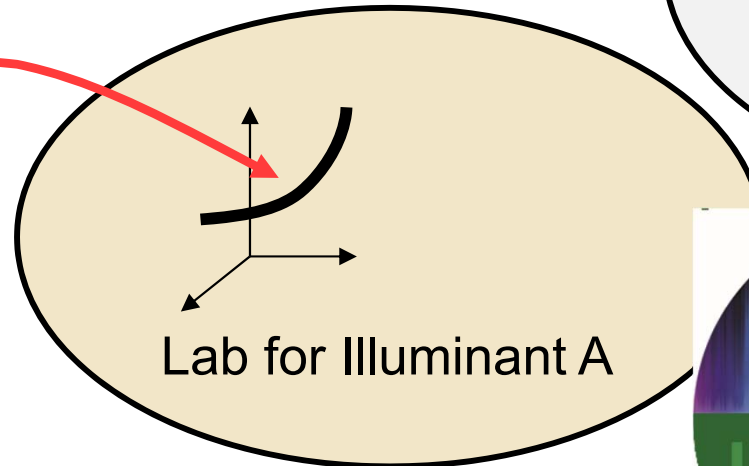
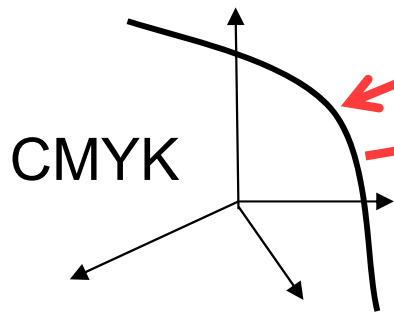
- » Typical aim curves



# Color matching: Metameric colors

- **Illuminant discriminating metameric colors**

— Idea:



— Calculation illuminant discrimination met

— Look for a set of CMYK values that

- are the same for a first illuminant

- have a maximum  $\Delta E^*_{ab}$  for a second illuminant



INTERNATIONAL  
COLOR  
CONSORTIUM

Thank you for your attention ● Any questions?

---

